



Utah Department of Agriculture and Food

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Academic Medical Cannabis Research Operating Plan Coversheet

In accordance with 4-41a and R66-8 a licensed Utah academic medical cannabis research facility must have an operating plan that describes how the academic researchers will comply with all applicable operating standards, statutes and administrative rules. This document is not intended to be a full comprehensive list of all operating standards, statutes and administrative rules. Medical cannabis research facilities must be familiar with and comply with all operating standards, statutes and administrative rules. Statues and administrative rules change frequently, verify you are using the most up to date form.

Application Type

New Application

Renewal

Business Information

Research University Name:			
Physical Address:			
Mailing Address:			
Phone Number:	E-mail:		
Contact Name:	Contact Position:		

Researcher(s) Information

List of personnel that will be involved in the project, including each name and role. R66-8-4.(5)(f).

Principle Investigator(s)		Researcher(s)	
Name:		Name:	
Phone Number:		Phone Number:	
E-mail:		E-mail:	
Role:		Role:	
Name:		Name:	
Phone Number:		Phone Number:	
E-mail:		E-mail:	
Role:		Role:	
Name:		Name:	
Phone Number:		Phone Number:	
E-mail:		E-mail:	
Role:		Role:	
Name:		Name:	
Phone Number:		Phone Number:	
E-mail:		E-mail:	
Role:		Role:	

Academic Research Operating Checklist

Please attach operating plan in designated order in a single PDF limited to 12 pages, not including references or citations

The applicant understands that as an applicant and potential licensee you are REQUIRED to know the current statutory law, administrative rules and Departmental policies and comply in full? ___ Yes ___ No

The applicant understands that no applicant may possess any cannabis until the applicant is notified that their research license has been approved by the department? R66-8-3.(1) ___ Yes ___ No

The applicant understands that they shall be 21 years of age or older and be employed by a research university? R66-8-3.(2)(3) ___ Yes ___ No

The applicant understands that the department may not issue a license to an applicant if they have been convicted of a drug-related felony within the last ten years? R66-8-3.(4)&(6) ___ Yes ___ No

The applicant understands that an applicant shall submit a research license fee as approved by the Legislature in the fee schedule? R66-8-3.(7) ___ Yes ___ No

The applicant understands that before issuing a license the department shall inspect the proposed research location to determine if the applicant complies with state law and this rule? R66-8-3.(8) ___ Yes ___ No

The applicant understands that an applicant is responsible for ensuring that no information is included in a research plan that may compromise the applicant's ability to secure patent, trade secret, or other intellectual property protection? R66-8-4.(1) ___ Yes ___ No

The applicant understands that an applicant is responsible for ensuring that no information is included in a research plan that may compromise the applicant's ability to secure patent, trade secret, or other intellectual property protection? R66-8-4.(1) ___ Yes ___ No

The applicant understands that each research plan shall be submitted by a person who has the legal authority to represent the research university.? R66-8-4.(2) ___ Yes ___ No

General Research License Requirements

1. The name, email address, and telephone number of the principal investigator responsible for the: procurement of cannabis; R66-8-3(5)(a)(i). 4-41a-901.(2)(a)

2. The name, email address, and telephone number of the principal investigator responsible for the: use and secure storage of the cannabis; R66-8-3(5)(a)(ii). 4-41a-901.(2)(c)(i)

3. The name, email address, and telephone number of the principal investigator responsible for the: management of the research; R66-8-3(5)(a)(iii). 4-41a-901.(2)(c)(ii)

4. Name, location and description of where the research university intends to conduct the research. R66-8-3(5)(b). 4-41a-901.(2)(a)

5. The the name of each individual with access to cannabis material R66-8-3(5)(c). 4-41a-901.(3)

6. The research university's research plan. R66-8-3(5)(d). 4-41a-901.(2)(b)

7. The research location. R66-8-3(5)(e). 4-41a-901.(2)(b)

8. The name and address of each cannabis production establishment or licensee from which the applicant intends to obtain cannabis. R66-8-3(5)(f). 4-41a-901.(4)(a)(ii)

9. A security plan. R66-8-3(5)(g).

10. Each applicant for a license shall submit to the department, at the time of application, from each individual who will handle cannabis as part of the research, a nationwide criminal history from the FBI completed within three months of the application. R66-8-3(6).

11. Inventory and Recordkeeping plan. R66-8-5.

12. Transportation plan. R66-8-7.

13. Inspection and Testing plan. R66-8-8.

14. Minimum Storage and Handling Requirements plan. R66-8-9.

15. Cannabis Waste Disposal plan. R66-8-10.

Research Plan that is limited to 12 pages, not including references or citations, and should include the following information, in addition to the requirements of Section R66-8-3:

16. The purpose and goal of the proposed research. R66-8-4.(5)(a) 4-41a-901.(2)(b)

17. Each key milestone and timeline for the research. R66-8-4.(5)(b) 4-41a-901.(2)(b)

18. Background and preliminary studies, if applicable;. R66-8-4.(5)(c) 4-41a-901.(2)(b)

19. The amount and type of cannabis to be obtained for the research project including the justification with respect to each milestone task. R66-8-4.(5)(d) 4-41a-901.(2)(b)

20. The anticipated cost of the proposed research project and funding source. R66-8-4.(5)(e) 4-41a-901.(2)(b)

21. Personnel that will be involved in the project, including each name and role. R66-8-4.(5)(f) 4-41a-901.(2)(b)

22. Facilities, equipment, and other resources required and available for conducting the proposed research project. R66-8-4.(5)(g) 4-41a-901.(2)(b)

23. Letters of support, limited to two pages each, confirming the commitment of time and resources from external personnel or organizations if external personnel or organizations will participate in research activities under an approved research project. R66-8-4.(5)(h) 4-41a-901.(2)(b)

24. Any additional information requested by the department. R66-8-4.(5)(i) 4-41a-901.(2)(b)

25. Each license will be issued by the Cannabis Production Establishment Licensing Board. R66-6-3(6)

Change Requests (Renewal Applications Only)

26. Attach all approved change requests to the application

Certification and Acknowledgments

Applicant understands the requirements for licensure are based on current statute and rule and are subject to change. Applicant agrees as a condition of licensing that he has read and will abide by the provisions of Utah Code 4-41a and all rules promulgated thereunder and all directives of the Utah Department of Agriculture and Food. The applicant also understands that failure to adhere to or maintain the qualifications of their license, may result in suspension or revocation of the license and/or forfeiture of the performance bond or any other remedies allowed by law.

Applicant agrees to immediately notify the department of any change in ownership or financial interest of the facility; the facility's name, change in location, remodeling, expansion, reduction or physical, non-cosmetic alteration of the facility, change in written operating procedures, or change in any information submitted in this application.

The undersigned acknowledges that representatives of the Utah Department of Agriculture and Food may inspect the records and facility of a cannabis production establishment at any time during business hours to determine and ensure the cannabis production establishment is in compliance with the law. Failure to provide the department or the department's authorized agents immediate access to records and facilities during business hours in accordance with this section may result in a civil monetary penalty; license or registration suspension or revocation; or an immediate cessation of operations under a cease and desist order issued by the department.

Applicant acknowledges and understands that cultivating, possessing, using, distributing and/or selling marijuana is prohibited by federal law, notwithstanding Utah law or any authorizations in the Agent or this Registration to the contrary. Nothing in this Application is intended to provide any guidance or assistance in violating or complying with existing federal laws regulating marijuana cultivation, distribution, or use. Similarly, compliance with state law or the terms of this Registration, or possession of the registration card does not confer immunity from enforcement of federal law or federal enforcement practices. Further, nothing in this Application or the Registration Card shall be construed as advice with regard to compliance with applicable federal, state, or local tax laws or any regulatory consequences of engaging in any business in this industry.

The undersigned acknowledges that they have read and understand the statements herein and the execution thereof is done voluntarily and by the authorization of the applicant entity.

The undersigned hereby makes application to the Utah Department of Agriculture and Food and certifies that the information contained herein and attached here is true and correct.

Name:		
Signature:		Date:
For UDAF Office Use Only		
Date Received:	Date Approved:	
Compliance Officer:		