

A SUMMARY SUSPENTION SHOULD BE IMPOSED FOR ALL CATAGORIES WHERE A DOPING OR ENDANGERMENT VIOLATION IS CHARGED. The following are recommended penalties for Doping or Equine Endangerment Violations carrying a **Category “A” penalty** and for violations of Prohibited Practices:

LICENSED TRAINER:		
1st offense	2nd LIFETIME offense in any jurisdiction	
<ul style="list-style-type: none"> Minimum zero-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a five-year suspension. <p style="text-align: center;">AND</p>	<ul style="list-style-type: none"> Revocation of license with no reapplication permitted. 	
<ul style="list-style-type: none"> Minimum fine of \$0, plus forfeiture of purse absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of \$5,000 and forfeiture of purse. <p style="text-align: center;">AND</p>	Minimum fine of \$5,000 plus share of purse.	
<ul style="list-style-type: none"> May be referred to the Commission for any further action deemed necessary by the Commission. 		
LICENSED OWNER:		
1st offense	2nd LIFETIME offense in owner’s stable in any jurisdiction	3rd LIFETIME offense in owner’s stable in any jurisdiction
<ul style="list-style-type: none"> Disqualification and loss of purse. <p style="text-align: center;">AND</p>	<ul style="list-style-type: none"> Disqualification and loss of purse plus \$5,000 fine absent mitigating circumstances. Aggravating factors could be used to impose suspension. <p style="text-align: center;">AND</p>	<ul style="list-style-type: none"> Disqualification and loss of purse. Minimum thirty-day suspension and \$5,000 fine absent mitigating. Aggravating factors c/b used to impose a maximum of one-year suspension and \$5,000 fine. <p style="text-align: center;">AND</p>
<ul style="list-style-type: none"> Horse shall be placed on the veterinarian’s list for 180 days and must pass a commission-approved examination before becoming eligible to be entered. 	<ul style="list-style-type: none"> Horse shall be placed on the veterinarian’s list for 180 days and must pass a commission-approved examination before becoming eligible to be entered. 	<ul style="list-style-type: none"> Horse shall be placed on the veterinarian’s list for 180 days and must pass a commission-approved examination before becoming eligible to be entered.
	<ul style="list-style-type: none"> May be referred to the Commission for any further action deemed necessary by the Commission. 	<ul style="list-style-type: none"> May be referred to the Commission for any further action deemed necessary by the Commission^o

A SUMMARY SUSPENTION SHOULD BE IMPOSED FOR ALL CATAGORIES WHERE A DOPING OR ENDANGERMENT VIOLATION IS CHARGED.

The following are recommended penalties for **Doping or Equine Endangerment Violation Category “B” penalty**, (DEE Category B would be for many of the substances currently calling for a Class A penalty, essentially your Category 1 substances and some 2’s.)

LICENSED TRAINER:		
1st offense	2nd offense in any jurisdiction during any 365-day period.	3rd offense
Minimum zero-day suspension. The presence of aggravating factors could be used to impose a maximum two-year suspension.	◦ Minimum zero-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a three-year suspension.	◦ Minimum zero-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a revocation of license.
AND	AND	AND
◦ Minimum fine of \$0 and forfeiture of purse absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of \$5,000.	◦ Minimum fine of \$0 and loss of share of purse absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of \$5,000.	◦ Minimum fine of \$0 and loss of purse absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of \$5,000.
AND	AND	AND
May be referred to the Commission for any further action deemed necessary by the Commission.	May be referred to the Commission for any further action deemed necessary by the Commission.	◦ May be referred to the Commission for any further action deemed necessary by the Commission.
LICENSED OWNER:		
1st offense	2nd offense in any jurisdiction during any 365-day period.	3rd offense in any jurisdiction
◦ Disqualification and loss of purse.	◦ Disqualification and loss of purse. Minimum fine \$0 and maximum of 5,000 in the absence of mitigating circumstances. Aggravating factors could be used to impose fine of \$25,000.	◦ Disqualification and loss of purse. Minimum fine \$5,000 in the absence of mitigating circumstances.
AND	AND	AND
◦ Horse must pass a commission-approved examination before becoming eligible to be entered.	Horse on Vet’s List for 180 Days. May be referred to the Commission for any further action deemed necessary by the Commission.	Horse on Vet’s List for 180 Days. May be referred to the Commission for any further action deemed necessary by the Commission.

A SUMMARY SUSPENTION SHOULD BE IMPOSED FOR ALL CATAGORIES WHERE A DOPING OR ENDANGERMENT VIOLATION IS CHARGED.

The following are recommended penalties for **Doping or Equine Endangerment Violation Category “C” penalty**, (DEE Category C would be for many of the substances following the penalty progression in Category A and B moving from current to DEE Category.)

LICENSED TRAINER:		
1st offense	2nd offense in any jurisdiction during any 365-day period.	3rd offense in any jurisdiction
Minimum 90-day suspension. The presence of aggravating factors could be used to impose a maximum one-year suspension.	◦ Minimum 180-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a two-year suspension.	◦ Minimum one-year suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a three-year suspension.
AND	AND	AND
◦ Minimum fine of \$5,000 and loss of share of purse absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of \$5,000.	◦ Minimum fine of \$0,000 and loss of purse absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of \$5,000.	◦ Minimum fine of \$5,000 and loss of purse absent mitigating circumstances.
AND	AND	AND
May be referred to the Commission for any further action deemed necessary by the Commission.	May be referred to the Commission for any further action deemed necessary by the Commission.	◦ May be referred to the Commission for any further action deemed necessary by the Commission.
LICENSED OWNER:		
1st offense	2nd offense in any jurisdiction during any 365-day period.	3rd offense in any jurisdiction
◦ Disqualification and loss of purse.	◦ Disqualification and loss of purse. Minimum fine \$5,000 in the absence of mitigating circumstances. Aggravating factors could be used to impose fine of \$10,000.	◦ Disqualification and loss of purse. Minimum fine \$5,000 in the absence of mitigating circumstances. Aggravating factors could be used to impose fine of \$5,000.
AND	AND	AND
◦ Horse must pass a commission-approved examination before becoming eligible to be entered.	Horse on Vet’s List for 180 Days. May be referred to the Commission for any further action deemed necessary by the Commission.	Horse on Vet’s List for 180 Days. May be referred to the Commission for any further action deemed necessary by the Commission.

FAILURE TO MAINTAIN OR PROVIDE REQUIRED TREATMENT RECORDS.

The following are recommended penalties for **trainers failure to keep or report required treatment records:**

LICENSED TRAINER:		
1st offense	2nd offense in any jurisdiction during any 365-day period.	3rd offense in any jurisdiction
◦ Minimum fine of \$0 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of \$5,000.	◦ Minimum fine of \$0 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of \$5,000.	◦ Minimum fine of \$0 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of \$5,000. AND
		◦ May be referred to the Commission for any further action deemed necessary by the Commission.